

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Kimio ISHIMARU et al. : Confirmation No. 4555
U.S. Patent Application No. 10/521,095 : Group Art Unit: 3694
Filed: August 22, 2005 : Examiner: Trotter, Scott S.
For: RESEARCH-AND-DEVELOPMENT INVESTMENT SECURITIES SELLING
METHOD, SYSTEM, PROGRAM, AND RECORDING MEDIUM

RESPONSE TO RESTRICTION REQUIREMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

By the Official Action mailed October 7, 2008, restriction to one of the following inventions is required under 35 USC 121:

1. Single Invention election:

- Group I, claims 1-10, 13, 15, 16, 19 and 20, drawn to evaluating the expected value of intellectual property based on the market value information of a research target, success-probability information and income estimate information.

- Group II, claims 11, 12, 14, 17, 18, 21 and 22 drawn to evaluating the expected value of intellectual property based on the total research investment and the total investment distributions made to investors.

In response, Applicants hereby elect Group I, i.e. claims 1-10, 13, 15, 16, 19 and 20 for examination in this case.

Early examination on the merits is courteously solicited.

To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 07-1337 and please credit any excess fees to such deposit account.

Respectfully submitted,

LOWE HAUPTMAN HAM & BERNER, LLP

A handwritten signature in cursive script that reads "Kenneth M. Berner".

Kenneth M. Berner
Registration No. 37,093

1700 Diagonal Road, Suite 300
Alexandria, Virginia 22314
(703) 684-1111 KMB/KT/ser
Facsimile: (703) 518-5499
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